



*Council of the*  
**INSPECTORS GENERAL**  
*on INTEGRITY and EFFICIENCY*

DEC 22 2014

The President  
The White House  
Washington, DC 20500-0000

Dear Mr. President:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to Congress and the President an annual report on the activities of the Integrity Committee (IC). The enclosed report summarizes the IC activities for fiscal year 2014. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continuing support of an interest in the Inspector General community. Should you wish any additional information concerning this report or any other CIGIE activity, please do not hesitate to contact me at (202) 720-8001.

Respectfully,

Phyllis K. Fong  
Chairperson

Enclosure

cc: (w/enclosure)  
Ms. Beth Cobert, Executive Chairperson  
Council of the Inspectors General on Integrity and Efficiency

**Integrity Committee**  
Council of the Inspectors General on Integrity and Efficiency  
935 Pennsylvania Avenue, N.W., Room 3973  
Washington, D.C. 20535  
IC\_Complaints@ic.fbi.gov

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December 15, 2014

Phyllis Fong  
Chair  
Council of the Inspectors General on Integrity and Efficiency  
1717 H Street, N.W.  
Suite 825  
Washington, D.C. 20006

Re: Fiscal Year 2014 Annual Report to Congress and the President

Dear Ms. Fong:

This letter provides the annual report of the activities of the Integrity Committee (IC) over the last fiscal year to Congress and the President as required by section 11(d)(9) of the Inspector General Reform Act of 2008 (IG Reform Act).

The IG Reform Act directs the IC to address allegations of serious misconduct about Inspectors General (IG) and their designated employees. The IC submits the following information concerning the allegations it received and addressed during Fiscal Year 2014 (FY2014) from October 1, 2013 - September 30, 2014.

- 72 - Allegations received.
  - o 35 - Allegations within IC purview.
    - 30 - Allegations reviewed and closed.
    - 4 - Allegations referred for investigation.
    - 1 - Allegation pending
  - o 37 - Allegations outside of IC purview.
    - 37 - Allegations reviewed and closed.
- 4 - Allegations referred for investigation by the IC to an independent Office of Inspector General (OIG).
  - o 2 - Administrative investigations opened as a result of 3 of the allegations referred for investigation.
  - o 1 - Allegation added to an existing investigation
- 15 - Allegations referred to other agencies, including allegations referred for criminal investigation.
  - o 7 - Allegations within IC purview.
  - o 8 - Allegations outside of IC purview.

- 57 - Allegations closed without referral.
  - o 28 - Allegations within IC purview.
  - o 29 - Allegations outside of IC purview.

The attached enclosures provide additional information for dissemination to Congress and the President.

Sincerely,



Timothy Delaney  
Chair  
Integrity Committee

Enclosure:   FY2014 Summary of Investigations  
                  FY2014 Chart of Allegations to the IC

### Enclosure 1: FY2014 Summary of Investigations

In FY2014, the IC concluded one administrative investigation which commenced in FY2013. As required by section 11(d)(9)(F) of the IG Reform Act, a summary of the findings of this investigation is provided. The IC is also providing information about the conclusion of a significant recently concluded administrative investigation, even though the investigation was concluded in FY2015 and would be reportable next year.

IC720: National Archives and Records Administration/ Inspector General (IG), Counsel to the IG, and Assistant Inspector General for Investigations (AIGI):

Numerous allegations were initially made in June 2012 against the IG, Counsel to the IG, and AIGI. The allegations concerned various statements the IG may have made concerning the race and/or ethnicity of individuals at NARA, comments about dating NARA employees and/or contractors or that were sexual in nature to various NARA employees and/or contractors, comments about the weight or personal appearance of NARA employees and/or contractors, threatening comments about the NARA General Counsel, as well as a failure to follow appropriate investigative and audit standards and taking retaliatory actions against OIG employees.

In July 2013, the Federal Deposit Insurance Corporation (FDIC) OIG accepted the investigation, concluding their work in May 2014. The IC met on May 21, 2014 to consider the matter.

The FDIC OIG substantiated certain allegations that the IG made the statements attributed to him about a marriage between individuals of different races, engaged in discussions about dating various NARA employees and/or contractors, and made comments about the weight or personal appearance of NARA employees and/or contractors. The remaining allegations against the IG were unsubstantiated or the FDIC OIG indicated that it was not able to substantiate the allegation since the only two individuals who participated in the encounter had differing recollections, and there were no other witnesses able to provide evidence.

The IC accepted and adopted the findings of the FDIC OIG as its own findings and conclusions concerning the conduct of the IG, Counsel to the IG, and the AIGI. The IC concluded that these comments by the IG, both separately and taken as a whole, constituted administrative misconduct and undermined the integrity reasonably expected of an IG. The IC determined that neither the AIGI nor Counsel to the IG had committed administrative misconduct.

#### FY2014 On-going Investigations:

There are currently two on-going investigations before the IC. One investigation originated from a complaint in October 2013, while the second investigation originated from two complaints in February and April 2014. The Integrity Committee does not provide substantive information on pending investigations.

FY2015 Completed investigations:

The IC completed an additional, significant, investigation at the end of October 2014.

IC740: Department of Homeland Security (DHS)/ Acting IG:

Numerous allegations against the Acting Inspector General (A/IG) of DHS were submitted to the IC beginning in May 2012. The complaints included allegations that the A/IG failed to disclose spousal employment with a DHS office leading to an impairment of a DHS OIG audit of that office, allowed the Acting Chief of Staff to use official time to work on the doctoral dissertation of the A/IG and was encouraged to set aside official DHS OIG duties to perform the work on the dissertation, had telephone records destroyed that were responsive to a Freedom of Information Act (FOIA) request, awarded contracts to unqualified applicants, and allowed DHS officials to direct the content and timing of DHS OIG audit reports.

The IC reviewed a total of 18 complaints about the A/IG. Two of the complaints were closed administratively since they failed to meet the IC's threshold for consideration. The remaining 16 complaints were investigated by the Department of Transportation (DOT) OIG between June 2013 and October 2014. The DOT OIG submitted its final ROI on October 17, 2014 and the IC met on October 30, 2014 to consider the matter.

The DOT OIG substantiated four allegations: (1) The A/IG failed to disclose spousal employment in DHS's Program Accountability and Risk Management Office, which appeared to impair the independence of a DHS OIG audit that cost the government \$659,943.32 and resulted in the rescission of the audit report; (2) The A/IG could not account for all of his time during a June 2011 trip to the DHS OIG Miami field office, and there is evidence that the October 2011 trip to Miami was a pretext to have personal travel expenses paid for by the government; (3) The A/IG allowed the Acting Chief of Staff to use official time to work on the A/IG's doctoral dissertation, and was encouraged to set aside official DHS-OIG work to do so; and (4) The A/IG allowed the DHS OIG Information Technology (IT) Branch Chief to use official time to perform work related to the A/IG's teaching responsibilities at Capitol College. All of the remaining allegations against the A/IG were not substantiated by either the DOT OIG or the IC.

The IC decided to accept and adopt the findings of the DOT OIG as its own, and determined they constituted administrative misconduct, with the exception of the portion of the allegation that the A/IG could not account for all of his time during his June 2011 trip to Miami. While the IC also substantiated that finding, it concluded the A/IG's inability to account for all of his time that day did not constitute administrative misconduct, and was not a basis for the IC's findings.

Enclosure 2: FY2014 Chart of Allegations to the IC

**Complaints Within IC Purview**

IC #	Date Received	Date Disposed	Referred to Another Agency	Request for Response	Investigation	Does not Meet Threshold Standard
752	10/01/13	12/10/14	-	Yes	-	-
753	10/17/13	Pending	-	Yes	Yes	-
757	11/13/13	03/19/14	-	Yes	-	-
758	11/13/13	12/16/13	Yes	-	-	-
759	11/15/13	12/16/13	-	-	-	-
760	11/20/13	12/16/13	-	-	-	Yes
761	11/25/13	12/10/14	-	Yes	-	-
762	11/29/13	12/16/13	Yes	-	-	-
763	11/05/13	03/19/14	-	Yes	-	-
764	11/05/13	03/19/14	-	Yes	-	-
765	11/01/13	10/30/14	-	-	Yes	-
766	12/04/13	04/07/14	-	Yes	-	-
767	12/02/13	02/05/14	-	-	-	Yes
768	02/11/14	03/19/14	Yes	-	-	Yes
769	02/18/14	Pending	-	Yes	Yes	-
770	02/12/14	03/19/14	-	-	-	Yes
771	02/25/14	07/28/14	-	Yes	-	-
772	04/22/14	Pending	-	Yes	Yes	-
773	04/22/14	06/09/14	Yes	-	-	-
774	05/01/14	07/28/14	-	Yes	-	-
775	05/08/14	06/09/14	Yes	-	-	-
776	05/27/14	07/28/14	-	Yes	-	-
777	05/28/14	06/13/14	-	-	-	Yes
778	05/22/14	10/07/14	-	Yes	-	-
779	05/30/14	07/22/14	Yes	-	-	-
780	06/02/14	07/28/14	Yes	-	-	-
781	06/12/14	07/28/14	-	-	-	Yes
782	06/19/14	Pending	-	Yes	-	-
783	06/24/14	10/07/14	-	Yes	-	-
784	07/31/14	10/14/14	-	-	-	Yes
785	08/14/14	12/10/14	-	Yes	-	-
786	07/29/14	10/15/14	-	-	-	Yes
787	09/03/14	10/15/14	-	-	-	Yes
788	09/25/14	10/15/14	-	-	-	Yes
789	09/22/14	10/15/14	-	-	-	Yes

**Complaints Outside IC Purview**

IC #	Date Received	Date Disposed	Referred
14-1	10/03/13	01/23/14	Yes
14-2	10/13/13	01/23/14	-
14-3	10/11/13	01/23/14	-
14-4	10/28/13	01/23/14	-
14-5	11/05/13	01/24/14	-
14-6	11/04/13	01/24/14	Yes
14-7	11/14/13	01/24/14	-
14-8	12/03/13	01/24/14	
14-9	01/02/14	02/07/14	-
14-11	12/12/13	02/07/14	Yes
14-12	12/06/13	02/07/14	-
14-13	01/10/14	02/07/14	Yes
14-14	01/23/14	02/07/14	-
14-15	01/23/14	02/07/14	-
14-16	01/16/14	02/07/14	-
14-17	12/10/13	02/07/14	-
14-18	01/28/14	03/06/14	-
14-19	01/31/14	03/06/14	-
14-19	02/25/14	03/06/14	-
14-20	02/05/14	03/20/14	-
14-21	2/10/2014	03/06/14	-
14-22	02/21/14	03/06/14	-
14-23	03/21/14	06/13/14	Yes
14-24	04/22/14	06/04/14	Yes
14-25	05/08/14	06/13/14	-
14-26	05/12/14	06/13/14	-
14-27	05/24/14	06/13/14	-
14-28	6.5.14	07/30/14	Yes
14-29	6.14.14	07/30/14	-
14-30	6.17.14	07/30/14	-
14-31	07/18/14	10/15/14	-
14-32	07/21/14	10/15/14	-
14-33	08/06/14	10/15/14	Yes
14-34	07/18/14	10/15/14	-
14-35	08/25/14	10/15/14	-
14-36	07/15/14	10/15/14	-
14-37	09/22/14	10/15/14	-



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DEC 22 2014

The Honorable Tom Carper  
Chairman, Committee on Homeland Security  
and Governmental Affairs  
United States Senate  
340 Dirksen Senate Office Building  
Washington, DC 20510-6250

Dear Mr. Chairman:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to Congress and the President an annual report on the activities of the Integrity Committee (IC). The enclosed report summarizes the IC activities for fiscal year 2014. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

Thank you for your continuing support of an interest in the Inspector General community. Should you wish any additional information concerning this report or any other CIGIE activity, please do not hesitate to contact me at (202) 720-8001.

Sincerely,

Phyllis K. Fong  
Chairperson

Enclosure

cc: (w/enclosure)  
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Council of the Inspectors General on Integrity and Efficiency





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DEC 22 2014

The Honorable Tom Coburn  
Ranking Member  
Committee on Homeland Security and  
Governmental Affairs  
United States Senate  
340 Dirksen Senate Office Building  
Washington, DC 20510-6250

Dear Senator Coburn:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to Congress and the President an annual report on the activities of the Integrity Committee (IC). The enclosed report summarizes the IC activities for fiscal year 2014. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

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*on INTEGRITY and EFFICIENCY*

DEC 22 2014

The Honorable Elijah Cummings  
Ranking Member  
Committee on Oversight and  
Government Reform  
U.S. House of Representatives  
2157 Rayburn House Office Building  
Washington, DC 20515-6143

Dear Congressman Cummings:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to Congress and the President an annual report on the activities of the Integrity Committee (IC). The enclosed report summarizes the IC activities for fiscal year 2014. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

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**INSPECTORS GENERAL**  
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DEC 22 2014

The Honorable Darrell Issa  
Chairman, Committee on Oversight and  
Government Reform  
U.S. House of Representatives  
2157 Rayburn House Office Building  
Washington, DC 20515-6143

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**INSPECTORS GENERAL**  
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DEC 22 2014

The Honorable Beth Cobert  
Executive Chairperson  
Council of the Inspectors General on  
Integrity and Efficiency  
The Office of Management and Budget  
725 17<sup>th</sup> Street NW.  
Washington, DC 20503

Dear Ms. Cobert:

Section 11(d)(9) of the Inspector General Act of 1978, as amended, requires the Council of the Inspectors General on Integrity and Efficiency (CIGIE) to submit to Congress and the President an annual report on the activities of the Integrity Committee (IC). The enclosed report summarizes the IC activities for fiscal year 2014. The report provides information on the number, status, and disposition of complaints received by the IC during this period.

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Chairperson

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Identical letter sent to:  
The President  
The Honorable Darrell Issa  
The Honorable Elijah Cummings  
The Honorable Tom Carper  
The Honorable Tom Coburn